

# Looking for the Space of Bounded Deformations

Thomas Wicks

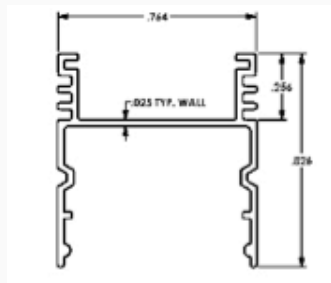
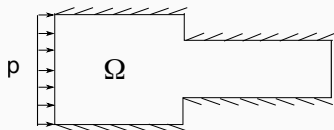
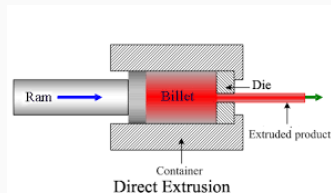
tom.wicks@utexas.edu

8 May 2020

## About the Speaker

- Computational Scientist (Retired)
  - Assoc. Technical Fellow and Senior Manager, The Boeing Company,
  - Asst. Professor, University of Missouri-Rolla, and
  - Staff Scientist, Los Alamos National Laboratory.
  
  - Ph.D. - Northwestern University,
  - M.S. - The University of Texas at Austin, and
  - B.S. - Auburn University.
  
  - Sgt., 5th Mobile Communications Group, U. S. Air Force
- Professional Memberships: ACM, AMS, and SIAM.

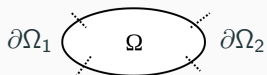
# Manufacturing - Process of Extrusion



## How Widely Used is Extrusion in Manufacturing?

- Tesla uses a skateboard design for the extruded aluminum frame of its battery pack to improve the rigidity of its vehicles for example,
- Tesla uses aluminum extruded enclosure design for the battery enclosure for example,
- The average North American passenger vehicle contained about 28 lbs of aluminum extrusion and by 2025 the estimate is that it will contain nearly 50 lbs/vehicle,
- In the UK alone, the forecast by 2035 is for 2.2 million vehicles to have battery enclosures – 50% which are EV's and 50% which are PHEV's,
- The Norwegian Company, Sapa, opened a \$3 Million lab in Troy MI to develop extrusion processes, products, et al. in 2016.

## Variational Problem for Limit Analysis (Mura and Lee) - 1962



- Traction BC's,  $T_0$ , on  $\partial\Omega_1$ ,
- Displacement BC's,  $u_0$ , on  $\partial\Omega_2$ .

Suppose  $u(x) \in \mathbb{R}^n$ ,  $n = 2, 3$ . Find  $(u, \sigma, m) \in \mathcal{U} \times \mathcal{P} \times \mathbb{R}$  that is an extremal point of the following functional:

$$L(u, \sigma, m) = \int_{\Omega} (k\sqrt{2 e(u)^T e(u)} + \sigma \nabla \cdot u) - m \int_{\partial\Omega_1} (T_0 \cdot u - 1)$$

- $u$  denotes the displacement,  $e(u) = \nabla \odot u$  denotes the strain tensor, and  $\sigma$  denotes the hydrostatic pressure (Gurtin),
- $k$  is the yield stress in simple shear of a von Mises material and  $m$  denotes the limit load (Lubliner).

# Minimization of Functional for Stokes Problem

Let  $n = 2, 3$ ,  $H = \{u \in W^{1,2}(\Omega)^n : \nabla \cdot u = 0 \text{ and } u = 0 \text{ on } \partial\Omega\}$ , and  $f \in L^2(\Omega)^n$ .

## Stokes Problem:

Find  $u \in H$  that minimizes  $J(u) = \int_{\Omega} (\nabla \otimes u)^T (\nabla \otimes u) - f \cdot u$ .

## Theorem 1 (Vainberg - 1973).

Let  $H$  be a Hilbert Space and  $J : H \rightarrow \mathbb{R}$  such that  $J$  is:

- differentiable, i.e.  $\lim_{\alpha \rightarrow 0} \left[ \frac{J(u+\alpha v) - J(u)}{\alpha} \right]$  exists for  $u, v \in H$
- strictly convex, i.e. for  $0 < \theta < 1$   
 $J(\theta u + (1 - \theta)v) < \theta J(u) + (1 - \theta)J(v) \quad \forall u, v \neq 0, u \neq v \in H$
- and coercive, i.e.  $\lim_{\|u\|_H \rightarrow \infty} \left[ \frac{J(u)}{\|u\|_H} \right] = \infty$ ,

then, there exists a unique  $u \in H$  such that  $J(u) \leq J(v) \quad \forall v \in H$ .

## Regularity of Stokes Problem

- $u \in H$ ; thus, by the Trace Theorem (Lions -1958),  $u|_{\partial\Omega} \in L^q(\partial\Omega)^n$  for  $2 \leq q \leq 4$ , when  $n = 3$ , and  $2 \leq q < \infty$ , when  $n = 2$ ,
- For the Stokes Problem:  $\int_{\Omega} (\nabla \otimes u)^T (\nabla \otimes u) = \|\nabla \otimes u\|_0^2$ ,
- But, by Poincaré (1894):  $\|\nabla \otimes u\|_0^2 \geq c(\Omega) \|u\|_0^2$ ,  
thus:

$$\frac{J(u)}{\|u\|_H} \geq c \|u\|_H - \|f\|_0 \quad \text{which implies coercivity in the limit.}$$

### Questions:

1. Korn's Inequality<sup>1</sup> (1909): Does the norm,  $\|\nabla \odot u\|_0^2$ , dominate as in Poincaré's case?
2. What about the case  $u \in W^{1,1}(\Omega)^n$ ?

<sup>1</sup>Poincaré meets Korn via Maxwell: Extending Korn's First Inequality to Incompatible Tensor Fields by Patrizio Neff, Dirk Pauly, and Karl-Josef Witsch, Journal of Diff. Eqs., 2015

## Non-existence<sup>2</sup> of $u$ in $W^{1,1}(\mathbb{B})^2$ for a First-Order PDE

**Lemma 2 (Bourdaud and Wojciechowski, Bourgain and Brezis, ...).**

Let  $\mathbb{B}$  be the open unit ball in  $\mathbb{R}^2$ . There does not exist a  $u \in W^{1,1}(\mathbb{B})^2$  such that:

$$\nabla \cdot u = f \quad \forall f \in L^1(\mathbb{B}).$$

**Proof.**

Let  $u_0, u_1, u_2 \in W^{1,1}(\mathbb{B})$  with  $f = u_0 + \frac{\partial u_1}{\partial x_1} + \frac{\partial u_2}{\partial x_2}$ . By the Sobolev Embedding Theorem,  $W^{1,1}(\mathbb{B}) \hookrightarrow L^2(\mathbb{B})$  so that:

$$L^1(\mathbb{B}) \subset \left\{ v_0, v_1, v_2 \in L^2(\mathbb{B}) : v_0 + \frac{\partial v_1}{\partial x_1} + \frac{\partial v_2}{\partial x_2} \right\} = (W^{1,2}(\mathbb{B}))^*.$$

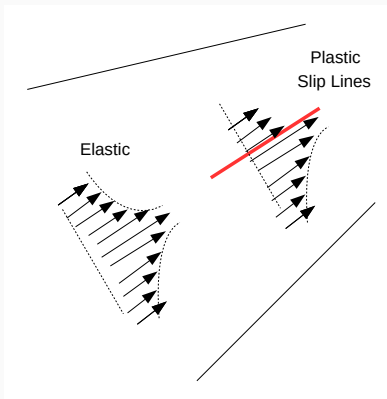
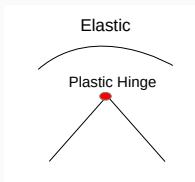
But,  $W^{1,2}(\mathbb{B}) \not\subset (L^1(\mathbb{B}))^* = L^\infty(\mathbb{B})$ . □

---

<sup>2</sup>Kristensen, From Ornstein's non-inequalities to rank-one convexity



$W^{1,1}(\Omega)^n$  is not large enough – How about  $BV(\Omega)^n$  or ...



- Definition of Space of Solutions for  $\mathcal{U}$  and  $\mathcal{P}$ ?
- Where are the Boundary Values of  $u$  – Trace Theorem?
- Existence/Uniqueness of Minimizers - Will regularization/relaxation be needed?
- Fine Properties – Behaviour and regularity of  $u$ ?

- $W^{1,1}(\Omega)^n = \{u \in L^1(\Omega)^n : D_j u_i \in L^1(\Omega), 1 \leq i, j \leq n\}$ ,
- $\mathcal{M}(\Omega) = \{\text{Bounded Radon measures on } \Omega\}$ ,
- $BV(\Omega)^n = \{u \in L^1(\Omega)^n : D_j u_i \in \mathcal{M}(\Omega), 1 \leq i, j \leq n\}$ ,
- $BD(\Omega) = \{u \in L^1(\Omega)^n : E(u)_{ij} \in \mathcal{M}(\Omega), 1 \leq i, j \leq n\}$ ,
- $BD(\Omega) \setminus BV(\Omega)^n$  – Construction<sup>3</sup> of an element that is in  $BD(\Omega)$  but not in  $BV(\Omega)^n$ .

---

<sup>3</sup>Fine Properties of Functions of Bounded Deformation by Ambrosio, Garcia, dal Maso in the Archive for Rational Mechanics, 1997

## Trace Result:

There exists a trace operator,  $\gamma_0 : BD(\Omega) \rightarrow L^1(\Gamma)$  such that,

$$\gamma_0(u) = u|_{\Gamma} \quad \forall u \in BD(\Omega) \cap C^0(\bar{\Omega})^n$$

This operator is onto  $L^1(\Gamma)$

## Closure – Point of Departure

- 1978 - Suquet, Un espace fonctionnel pour les équations de la plasticité
- 1980 - Temam and Strang, Functions of Bounded Deformation
- 1989 - Glowinski and Le Tallec, Augmented Lagrangian and Operator-Splitting Methods in non-linear Mechanics
- 1997 - Ambrosio, Coscia, dal Maso, Fine Properties of Functions of Bounded Deformation
- 2000 - Fuchs and Seregin, Variational Methods for Problems from Plasticity Theory and Generalized Newtonian Fluids
- 2000 - Barroso, Fonseca, and Toader, A relaxation theorem in the space of functions of bounded deformation
- 2008 - Vicente da Silva and Antão, Upper Bound Limit Analysis with a Parallel Mixed Finite Element Formulation
- 2012 - Kristensen and Rindler, Piecewise affine approximations for functions of bounded variation
- 2015 - Babadjian, Traces of Functions of Bounded Deformation
- 2017 - Conti, Focardi, and Iurlano, Which special functions of bounded deformation have bounded variation?

## References

- [1] R. A. Adams, *Sobolev Spaces*, Academic Press, 1975.
- [2] L. Ambrosio, A. Coscia, and G. Dal Maso, *Fine properties of functions with bounded deformation*, Arch. Rational Mech. Anal. **139** (1997), 201–238.
- [3] J-F. Babadjian, *Traces of functions of bounded deformation*, Indiana University Mathematics Journal **64** (2015), 1271–1290.
- [4] A. Barroso, I. Fonseca, and R. Toader, *A relaxation theorem in the space of functions of bounded deformation*, Annali della Scuola Normale Superiore di Pisa. Classe di Scienze. Serie IV **29** (2000).
- [5] S. Conti, D. Faraco, and F. Maggi, *A new approach to counterexamples to  $L^1$  estimates: Korn's inequality, geometric rigidity, and regularity for gradients of separately convex functions*, Archive for Rational Mechanics and Analysis **175** (2005), 287–300.
- [6] B. Dacorogna, N. Fusco, and L. Tartar, *On the solvability of the equation  $\nabla \cdot u = f$  in  $L^1$  and in  $C^0$* , Atti Accad. Naz. Lincei Cl. Sci. Fis. Mat. Natur. Rend. Lincei (9) Mat. Appl. **14** (2003).
- [7] M. Fuchs and G. Seregin, *Variational methods for problems from plasticity theory and for generalized newtonian fluids*, Lecture Notes in Mathematics, vol. 1749, Springer, 2000.
- [8] N. Fusco, F. Maggi, and A. Pratelli, *The sharp quantitative sobolev inequality for functions of bounded variation*, Journal of Functional Analysis **244** (2007), no. 1, 315–341.
- [9] R. Glowinski and P. Le Tallec, *Augmented lagrangian and operator-splitting methods in nonlinear mechanics*, Studies in Applied and Numerical Mathematics, vol. 9, SIAM, 1989.
- [10] F. Gmeineder, *Partial regularity for symmetric-convex functionals of linear growth*, In preparation (2016).
- [11] H. Itou, V.A. Kovtunencko, and K.R. Rajagopal, *Nonlinear elasticity with limiting small strain for cracks subject to non-penetration*,

- Mathematics and Mechanics of Solids **6** (2017), no. 22, 1334–1346.
- [12] J-L. Lions, *Quelques méthodes de résolution des problèmes aux limites non-linéaires*, Dunod Gauthier-Villars, Paris, 1969.
- [13] J-L. Lions and E. Magenes, *Non-homogeneous boundary-value problems and applications*, Springer, Berlin, 1972.
- [14] J. Lubliner, *Plasticity theory*, Dover Publications, 2008.
- [15] G. D. Maso, *Generalised functions of bounded deformation*, Journal of the European Mathematical Society **15** (2013).
- [16] T. Mura and S. L. Lee, *Application of variational principles to limit analysis*, Quarterly of Applied Mathematics **XXI** (1963), no. 2, 242–248.
- [17] D. Ornstein, *A non-inequality for differential operators in the  $L^1$  norm*, Archive for Rational Mechanics and Analysis **11** (1962), no. 1, 40–49.
- [18] R. T. Rockafellar, *Convex analysis*, Princeton Univ. Press, Princeton, NJ, 1970.
- [19] R. E. Showalter, *Monotone operators in Banach Space and nonlinear partial differential equations*, Mathematical Surveys and Monographs, vol. 49, American Mathematical Society, 2013.
- [20] M. J. Strauss, *Variations of korn's and sobolev's equalities*, Proc. Sympos. Pure Math (Berkeley, CA) (D. C. Spencer, ed.), vol. 23, 1973, pp. 207 – 214.
- [21] P. Suquet, *Existence et régularité des solutions des équations de la plasticité*, C. R. Acad. Sci. Paris **Ser. A-B 286** (1978), A1201–A1204.
- [22] ———, *Un espace fonctionnel pour les équations de la plasticité*, Ann. Fac. Sci. Toulouse **1** (1979), 77–87.
- [23] R. Temam and G. Strang, *Functions of bounded deformation*, Archive for Rational Mechanics **75** (1980), no. 1, 7–21.
- [24] T. Valkonen, *The jump set under geometric regularisation. part 1: Basic technique and first-order denoising*, SIAM J. Math. Analysis **47** (2014), 2587–2629.
- [25] ———, *The jump set under geometric regularisation. part 2: Basic technique and first-order denoising*, Journal of Mathematical Analysis and Applications **453** (2017), no. 2, 1044–1085.

- [26] J. Van Schaftingen, *Limiting sobolev inequalities for vector fields and canceling linear differential operators*, J. Eur. Math. Soc. **15** (2013), 877–921.
- [27] M. Vicente da Silva and A. Antão, *Upper bound limit analysis with a parallel mixed finite element formulation*, Int. J. of Solids and Struct. **45** (2008), no. 22-23, 5788–5804.